

LEGAL EXPERIENCE (Admitted to Practice in New York, 1998 – present)

Consulting Attorney, 2004-present

Attorney Editor, 2003-2004

Thomson/West Publishing, Rochester, New York

Associate Attorney, 1998-2002

Law Clerk, 1996-1998

Lippes, Silverstein, Mathias & Wexler LLP, Buffalo, New York

RESEARCH & PUBLICATIONS

Research Interests: FinTech, Blockchain and Cryptocurrencies; Financial Markets; Legal Issues in Financial Markets and FinTech

Referee Reports:

Financial Innovation, 2021

Financial Innovation, 2020

Financial Innovation, 2019

Textbooks

Corporate Governance, with Kenneth A. Kim & John R. Nofsinger, Prentice-Hall, 3rd. Ed., 2010.

Publications

Regulated Investment Companies, Chapter 41, Mertens Law of Federal Income Taxation, March 2004

Alien Taxpayers and the U.S. Income Tax, Immigration Briefings, November 2003

On the Application of CERCLA to Noncorporate Entities: An Analysis of the Redwing Decisions, 47 Case Western Reserve Law Review 1157, Spring 1997

SERVICE ACTIVITIES

Undergraduate Curriculum Committee, 2018-present

Undergraduate Business Track Advisor, Finance, 2017-present

Expert Commentary, WROC TV Channel 8, Rochester, New York

Current Events in Cryptocurrency, 2022

Tesla's Purchase of Large Amounts of Bitcoin, 2021

Use of Non-fungible Tokens to buy/sell digital art, 2021

Panelist, Simon Finance Conference 2022

Invited Speaker, NFTs for Artists, RIT 2022

Invited Panelist, RSA & NSBE Panel on Business Careers, 2020

Faculty Advisor, Meliora Blockchain Club, 2018-2019

Invited Speaker, Rochester Business Association, 2018

Faculty Director, M.S. Program in Finance (University at Buffalo), 2010-2017

SERVICE ACTIVITIES (continued)

Faculty Advisor, Terese Kelly Investment Group (University at Buffalo), 2011-2017
Faculty Advisor, Gerstein Fisher Research Collaborative (University at Buffalo), 2012-2014
Member, MS Finance Admissions Committee (University at Buffalo), 2010-2017
Member, Faculty Search Committee (University at Buffalo), 2007, 2010, 2011
Member, Digital Access Working Group (University at Buffalo), 2007- 2017
Judge, MBA Case Competition (University at Buffalo), 2007, 2010, 2012
Judge, Finance Academy (University at Buffalo), 2017

ACADEMIC AWARDS & HONORS

Order of the Coif, Case Western Reserve Law School, 1997
Adelstein Environmental Law Writing Competition, First Place, 1997
Cleveland Bar Association Award in Real Estate Transactions, 1997
Merit Scholarship, Case Western Reserve Law School, 1994-1997
John M. Olin Fellowship, Simon School, University of Rochester, 1992-1993
National Merit Scholarship, Michigan State University, 1981-1985

PROFESSIONAL ORGANIZATIONS

American Finance Association
New York State Bar Association
CFA, Passed Levels I and II

ADDITIONAL WORK EXPERIENCE

Teaching Assistant/Research Assistant, 1990-1993, Simon School, University of Rochester