### DEREK J. MOHR

# **EDUCATION**

J.D., magna cum laude, 1997, School of Law, Case Western Reserve University

Ph.D. Candidate, Finance, M.S., Applied Economics, 1992, Simon School, University of Rochester

**B.A.**, *Honors College*, 1985, Michigan State University

## **ACADEMIC EXPERIENCE**

Clinical Associate Professor, 2017 – present

**Lecturer**, 2015-2017

Simon School, University of Rochester, Rochester, New York

Graduate Courses: BRN481 Capital Markets

FIN402 Capital Budgeting and Corporate Objectives

FIN411 Investments

FIN413 Corporate Finance

FIN424 Options and Futures Markets

FIN446 Financial Technology (developed course) FIN465 Applied Finance Project (Coach/Advisor)

FIN490 Chartered Financial Analyst Training (developed course)

GBA466 Accounting and Finance for MS Students

## **Undergraduate Courses:**

FIN204 Principles of Finance FIN205 Financial Management

FIN213 Corporate Finance

FIN224 Options, Futures & Derivatives (developed course)

FIN233 Cases in Finance (developed course) FIN242 International Finance (developed course)

FIN246 Cryptocurrencies, Blockchain & FinTech (developed course)

### Clinical Associate Professor, 2015-2017; Clinical Assistant Professor, 2004-2015

Department of Finance, University at Buffalo, Buffalo, New York

Graduate Courses: MGE692 Special Topics: Economics of Strategy

MGF633 Investment Management

MGF634 Quantitative Methods in Finance

MGF635 Financial Derivatives

MGT601 Ethics & Corporate Governance

MGT612 International Business Law, Politics and Ethics

## **Undergraduate Courses:**

MGE302 Managerial Economics MGF301 Corporation Finance MGF402 Investment Management MGF405 Advanced Corporate Finance

MGF407 Financial Derivatives

**LEGAL EXPERIENCE** (Admitted to Practice in New York, 1998 – present)

Consulting Attorney, 2004-present

Attorney Editor, 2003-2004

Thomson/West Publishing, Rochester, New York

**Associate Attorney**, 1998-2002

Law Clerk, 1996-1998

Lippes, Silverstein, Mathias & Wexler LLP, Buffalo, New York

# **RESEARCH & PUBLICATIONS**

**Research Interests:** FinTech, Blockchain and Cryptocurrencies; Financial Markets; Legal Issues in Financial Markets and FinTech

## **Referee Reports:**

Financial Innovation, 2021

Financial Innovation, 2020

Financial Innovation, 2019

#### **Textbooks**

<u>Corporate Governance</u>, with Kenneth A. Kim & John R. Nofsinger, Prentice-Hall, 3rd. Ed., 2010.

#### **Publications**

Regulated Investment Companies, Chapter 41, Mertens Law of Federal Income Taxation, March 2004

Alien Taxpayers and the U.S. Income Tax, Immigration Briefings, November 2003

On the Application of CERCLA to Noncorporate Entities: An Analysis of the *Redwing* Decisions, 47 Case Western Reserve Law Review 1157, Spring 1997

### **SERVICE ACTIVITIES**

Undergraduate Curriculum Committee, 2018-present

Undergraduate Business Track Advisor, Finance, 2017-present

**Expert Commentary**, WROC TV Channel 8, Rochester, New York

Current Events in Cryptocurrency, 2022

Tesla's Purchase of Large Amounts of Bitcoin, 2021

Use of Non-fungible Tokens to buy/sell digital art, 2021

Panelist, Simon Finance Conference 2022

**Invited Speaker**, NFTs for Artists, RIT 2022

**Invited Panelist**, RSA & NSBE Panel on Business Careers, 2020

Faculty Advisor, Meliora Blockchain Club, 2018-2019

**Invited Speaker**, Rochester Business Association, 2018

Faculty Director, M.S. Program in Finance (University at Buffalo), 2010-2017

# **SERVICE ACTIVITIES (continued)**

Faculty Advisor, Terese Kelly Investment Group (University at Buffalo), 2011-2017

Faculty Advisor, Gerstein Fisher Research Collaborative (University at Buffalo), 2012-2014

Member, MS Finance Admissions Committee (University at Buffalo), 2010-2017

Member, Faculty Search Committee (University at Buffalo), 2007, 2010, 2011

Member, Digital Access Working Group (University at Buffalo), 2007-2017

Judge, MBA Case Competition (University at Buffalo), 2007, 2010, 2012

Judge, Finance Academy (University at Buffalo), 2017

# **ACADEMIC AWARDS & HONORS**

Order of the Coif, Case Western Reserve Law School, 1997

Adelstein Environmental Law Writing Competition, First Place, 1997

Cleveland Bar Association Award in Real Estate Transactions, 1997

Merit Scholarship, Case Western Reserve Law School, 1994-1997

John M. Olin Fellowship, Simon School, University of Rochester, 1992-1993

National Merit Scholarship, Michigan State University, 1981-1985

### PROFESSIONAL ORGANIZATIONS

American Finance Association

New York State Bar Association

CFA, Passed Levels I and II

## ADDITIONAL WORK EXPERIENCE

Teaching Assistant/Research Assistant, 1990-1993, Simon School, University of Rochester